UW Health Compliance Committee

April 20, 2023, 5:00 - 6:30 PM

Via WebEx: https://uwhealth.webex.com/uwhealth/j.php?MTID=m507232487c49134b8f33177bcc29650c

Meeting Number: 2620 285 8566 // Meeting Password: 042023


ADVANCE MEETING MATERIALS ARE POSTED FOR REFERENCE. OCCASIONALLY, THE POSTED MATERIALS DO NOT REFLECT CHANGES MADE SHORTLY BEFORE OR DURING COMMITTEE MEETINGS. THE FULL COMMITTEE MINUTES ARE THE OFFICIAL RECORD OF FINAL COMMITTEE ACTION
## Agenda

<table>
<thead>
<tr>
<th>Time</th>
<th>Session</th>
<th>Description</th>
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<tbody>
<tr>
<td>5:00 PM</td>
<td>I. Call to Order</td>
<td>Regent Mike Jones</td>
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<tr>
<td>5:00 PM</td>
<td>II. Meeting Minutes - Open Session</td>
<td>Approval</td>
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<tr>
<td>5:01 PM</td>
<td>III. UW Health Business Integrity</td>
<td>Informational/Update</td>
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<td>Presentation - UW Health Business Integrity</td>
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<td>5:21 PM</td>
<td>IV. Closed Session</td>
<td>(Materials Available To Members Only)</td>
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<td>Motion to enter into closed session pursuant to Wisconsin Statutes section 19.85(1)(e), for the discussion of confidential strategic matters that for competitive reasons require a closed session: review and approval of closed session minutes; pursuant to Wisconsin Statutes sections 19.85(1)(e) and 146.38, for the review and evaluation of health care services, including but not limited to discussions and overviews of corporate compliance, reimbursement compliance, research billing compliance, pharmacy compliance, and privacy compliance; and pursuant to Wisconsin Statutes section 19.85(1)(g) to confer with legal counsel regarding these and other matters.</td>
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<td>6:30 PM</td>
<td>V. Adjourn</td>
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Business Integrity

- Housekeeping & Announcements
  - Committee Composition & Attendance
  - Northern Illinois Compliance Committee
  - Potential Integration
  - Conflict of Interest Case Study
Leadership & Management

Trustees called to resign from California hospital's board over conflicts of interest

Kelly Gooch - Thursday, August 25th, 2022

Community Health Defends Quality of Care at CRMC After Bee Articles

Published 5 months ago on September 1, 2022
By David Taub, Senior Reporter

Fresno hospital leaders prioritized personal interests over patients. They should resign

BY THE FRESNO BEE EDITORIAL BOARD
UPDATED AUGUST 29, 2022 1:33 PM
Business Integrity – Compliance Committee

• Community Regional Medical Center - Fresno, CA
  • 685 Bed Regional Hospital & Trauma Center
  • Hosts UCSF Fresno Medical Education Program
  • Region’s Only Level 1 Trauma & Comprehensive Burn Center
  • 663 inpatients a day
  • 3,600 cardiovascular procedures a year
  • 12 Member Board of Directors
Business Integrity – Compliance Committee

- **Conflict of Interest?**
  - Current Chair (Assemi) was recruited by Trustee (Dunn)
  - One year later, BOD expanded Clovis location - approximately $1 Billion
  - After expansion, Dunn and Assemi founded & opened For-Profit Pharmacy & Osteopathic Medical School 1 mile away from Clovis
  - Dunn’s term ends and continues to attend BOD meetings & reviews contracts as guest
  - Medical Center paid Medical School over $1 Million in contracts
  - Regulatory upgrades were not made to downtown Medical Center
Business Integrity – Compliance Committee

• Outcome
  • Newspaper Investigation
  • Divided Community
  • No Charges
  • Assemi continues as Chair
Business Integrity – Compliance Committee

• Items for Discussion
  • What is the Role of Compliance Committee?
  • What is the Role of the Compliance Officer?
  • What Checks & Balances Does UW Health Have For Governance Conflicts of Interest?